#### BEFORE THE TEXAS BOARD OF NURSING

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xecutive Director of the Board

In the Matter of § AGREED

Registered Nurse License Number 749889 §

issued to ALLISON SHAFFER § ORDER

On this day the Texas Board of Nursing, hereinafter referred to as the Board, considered the matter of ALLISON SHAFFER, Registered Nurse License Number 749889, hereinafter referred to as Respondent.

Information received by the Board produced evidence that Respondent may be subject to discipline pursuant to Section 301.452(b)(10)&(13), Texas Occupations Code. Respondent waived notice and hearing and agreed to the entry of this Order approved by Katherine A. Thomas, MN, RN, FAAN, Executive Director, on June 22, 2016.

#### FINDINGS OF FACT

- 1. Prior to the institution of Agency proceedings, notice of the matters specified below in these Findings of Fact was served on Respondent and Respondent was given an opportunity to show compliance with all requirements of the law for retention of the license(s).
- 2. Respondent waived notice and hearing and agreed to the entry of this Order.
- 3. Respondent's license to practice as a professional nurse in the State of Texas is in current status.
- 4. Respondent received an Associate Degree in Nursing from El Centro College, Dallas, Texas on December 13, 2007. Respondent was licensed to practice professional nursing in the State of Texas on January 22, 2008.
- 5. Respondent's nursing employment history includes:

01/2008 - 10/2011 RN Baylor University Medical Center Dallas, Texas

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Respondent's nursing employment history continued:

01/2008 - 01/2012

RN

Medical City Dallas Hospital

Dallas, Texas

02/2012

Unknown

03/2012 - 06/2013

RN

On Assignment (Travel)

07/201 - Present

RN

Davita Dialysis

- 6. At the time of the initial incident, Respondent was employed as a Registered Nurse with Baylor University Medical Center, Dallas, Texas, and had been in that position for three (3) years and four (4) months.
- 7. On or about May 9, 2011 through May 12, 2011, while employed as a Registered Nurse with Baylor University Medical Center, Dallas, Texas, Respondent withdrew 8mg of Lorazepam, 14mg of Morphine, 4 tabs of Hydrocodone, and 100mcg of Fentanyl from the Medication Dispensing System for patients, but failed to document and/or accurately and completely document the administration of the medications in the patients' Medication Administration Records and/or Nurse's Notes. Respondent's conduct was likely to injure the patients in that subsequent care givers would rely on her documentation to further medicate the patients. Furthermore, Respondent's conduct was likely to deceive the hospital pharmacy and placed them in violation of Chapter 481 of the Texas Health and Safety Code (Controlled Substances Act).
- 8. On or about May 9, 2011 through May 12, 2011, while employed as a Registered Nurse with Baylor University Medical Center, Dallas, Texas, Respondent withdrew 2mg of Lorazepam, 14mg of Morphine, 4 tabs of Hydrocodone, and 50mcg of Fentanyl from the Medication Dispensing System for patients, but failed to follow the facility's policy and procedure for any of the unused portions of the medications. Respondent's conduct was likely to deceive the hospital pharmacy and placed them in violation of Chapter 481 of the Texas Health and Safety Code (Controlled Substances Act).
- 9. On or about May 9, 2011 through May 11, 2011, while employed as a Registered Nurse with Baylor University Medical Center, Dallas, Texas, Respondent withdrew Morphine and Clonazepam for patients but failed to follow physician's orders for the administration of the medications. Respondent's conduct was likely to injure the patient in that failure to administer medications as ordered by the physician could have resulted in non-efficacious treatment and Respondent's conduct was likely to deceive the hospital pharmacy and placed them in violation of Chapter 481 of the Texas Health and Safety Code (Controlled Substances Act).

- 10. On or about May 2, 2011 through June 15, 2011, while employed as a Registered Nurse with Baylor University Medical Center, Dallas, Texas, Respondent misappropriated 2mg of Lorazepam, 14mg of Morphine, 4 tabs of Hydrocodone, and 50mcg of Fentanyl belonging to the facility and patients thereof, or failed to take the precautions to prevent such misappropriation. Respondent's conduct was likely to defraud the facility and patients of the cost of medications.
- 11. On or about December 18, 2011, through January 4, 2012, while employed as a Registered Nurse with Medical City Dallas Hospital, Dallas, Texas, Respondent withdrew 2mg of Lorazepam and 8,000mg of Propofol from the Medication Dispensing System for patients, but failed to document and/or completely and accurately document the administration of the medications in the patients' Medication Administration Records. Respondent's conduct was likely to injure the patients in that subsequent care givers would rely on her documentation to further medicate the patients which could result in an overdose. Furthermore, Respondent's conduct was likely to deceive the hospital pharmacy and placed them in violation of Chapter 481 of the Texas Health and Safety Code (Controlled Substances Act).
- 12. On or about December 18, 2011, through January 4, 2012, while employed as a Registered Nurse with Medical City Dallas Hospital, Dallas, Texas, Respondent withdrew 2mg of Lorazepam and 8,000mg of Propofol from the Medication Dispensing System for patients, but failed to follow the facility's policy and procedure for any of the unused portions of the medications. Respondent's conduct was likely to deceive the hospital pharmacy and placed them in violation of Chapter 481 of the Texas Health and Safety Code (Controlled Substances Act).
- 13. On or about December 22, 2011, through January 19, 2012, while employed as a Registered Nurse with Medical City Dallas Hospital, Dallas, Texas, Respondent misappropriated Lorazepam and Propofol belonging to the facility and patients thereof, or failed to take the precautions to prevent such misappropriation. Respondent's conduct was likely to defraud the facility and patients of the cost of medications.
- 14. In response to Findings of Fact Numbers Seven (7) through Ten (10), Respondent admits that she failed to document in nine instances in the patient MAR while practicing at Baylor Medical Center. She did however, document pain assessments and emotion/coping states information in the patient critical care flowsheet, pain management flowsheet, and shift assessment notes. Respondent denies that she failed to follow the facility policy for wastage of the unused portions of administered medications because it is contrary to the record. Respondent admits that she administered two doses of Clonazepam to patient 60134262 in a dosage that was less than the prescribed order. In response to Findings of Fact Numbers Eleven (11) through Thirteen (13), Respondent flatly denies that she misappropriated medications.
- 15. On January 2, 2014, Respondent underwent an evaluation by Jim Womack, Ph.D. Dr.

Womack opined, there is insufficient evidence to support the opinion that the Respondent currently has a chemical abuse/dependency problem. The fact that she acknowledged she had engaged in the pulling of prescriptions early for her patients, may explain the multiple occasions of her failing to properly document her practice of handling those medications. Her report of having produced a "negative drug screening" and then have provided a rationale for a positive screening (i.e. she had a prescription for a narcotic), would argue against her stealing patient medications for her own use. Therefore, the most defensible explanation for her improper documentation of drug handling would be not following training protocol.

- 16. Formal Charges were filed on December 8, 2015.
- 17. Formal Charges were mailed to Respondent on December 10, 2015.

#### **CONCLUSIONS OF LAW**

- 1. Pursuant to Texas Occupations Code, Sections 301.451-301.555, the Board has jurisdiction over this matter.
- 2. Notice was served in accordance with law.
- 3. The evidence received is sufficient to prove violation(s) of 22 Tex. ADMIN. CODE  $\S 217.11(1)(A),(1)(B),(1)(C)\&(1)(D)$  and 22 Tex. ADMIN. CODE  $\S 217.12(1)(A),(1)(B),(1)(C),(4),(6)(G),(8),(10)(C),(10)(E)\&(11)(B)$ .
- 4. The evidence received is sufficient cause pursuant to Section 301.452(b)(10)&(13), Texas Occupations Code, to take disciplinary action against Registered Nurse License Number 749889, heretofore issued to ALLISON SHAFFER.
- 5. Pursuant to Section 301.463(d), Texas Occupations Code, this Agreed Order is a settlement agreement under Rule 408, Texas Rules of Evidence, in civil or criminal litigation.

## **TERMS OF ORDER**

### I. SANCTION AND APPLICABILITY

IT IS THEREFORE AGREED and ORDERED that RESPONDENT SHALL receive the sanction of **WARNING WITH STIPULATIONS** in accordance with the terms of this Order.

A. This Order SHALL apply to any and all future licenses issued to Respondent to

- practice nursing in the State of Texas.
- B. This Order SHALL be applicable to Respondent's nurse licensure compact privileges, if any, to practice nursing in the State of Texas.
- C. Respondent may not work outside the State of Texas in another nurse licensure compact party state without first obtaining the written permission of the Texas Board of Nursing and the Board of Nursing in the nurse licensure compact party state where Respondent wishes to work.

#### II. COMPLIANCE WITH LAW

While under the terms of this Order, RESPONDENT agrees to comply in all respects with the Nursing Practice Act, Texas Occupations Code, §§301.001 *et seq.*, the Rules and Regulations Relating to Nurse Education, Licensure and Practice, 22 Tex. ADMIN. CODE §§211.1 *et seq.*, and this Order.

### III. REMEDIAL EDUCATION COURSE(S)

In addition to any continuing education requirements the Board may require for licensure renewal, RESPONDENT SHALL successfully complete the following remedial education course(s) within one (1) year of the effective date of this Order, unless otherwise specifically indicated:

- A. <u>A Board-approved course in Texas nursing jurisprudence and ethics</u> that shall be a minimum of six (6) hours in length. The course's content shall include the Nursing Practice Act, standards of practice, documentation of care, principles of nursing ethics, confidentiality, professional boundaries, and the Board's Disciplinary Sanction Policies regarding: Sexual Misconduct; Fraud, Theft and Deception; Nurses with Substance Abuse, Misuse, Substance Dependency, or other Substance Use Disorder; and Lying and Falsification. Courses focusing on malpractice issues will not be accepted. Home study courses and video programs will not be approved.
- **B.** A Board-approved course in medication administration with a didactic portion of not less than six (6) hours and a clinical component of not less than twenty-four (24) hours. Both the didactic and clinical components be provided by the same Registered Nurse. The course's content shall include: a review of proper administration procedures for all standard routes; computation of drug dosages; the six (6) rights of medication administration; factors influencing the choice of route; and possible adverse effects resulting from improper administration. The clinical

component SHALL focus on tasks of medication administration only. The course description shall indicate goals and objectives for the course, resources to be utilized, and the methods to be used to determine successful completion of the course. Successful completion of this course requires RESPONDENT to successfully complete both the didactic and clinical portions of the course.

- C. <u>A Board-approved course in nursing documentation</u> that shall be a minimum of six (6) hours in length. The course's content shall include: nursing standards related to accurate and complete documentation; legal guidelines for recording; methods and processes of recording; methods of alternative record-keeping; and computerized documentation. Home study courses and video programs will not be approved.
- **D.** The course <u>"Sharpening Critical Thinking Skills,"</u> a 3.6 contact hour online program provided by the National Council of State Boards of Nursing (NCSBN) Learning Extension.

In order to receive credit for completion of this/these course(s), RESPONDENT SHALL CAUSE the instructor to submit a Verification of Course Completion form or SHALL submit the continuing education certificate, as applicable, to the attention of Monitoring at the Board's office. RESPONDENT SHALL first obtain Board approval of any course prior to enrollment if the course is <u>not</u> being offered by a pre-approved provider. Information about Board-approved courses and Verification of Course Completion forms are available from the Board at <u>www.bon.texas.gov/compliance</u>.

## IV. EMPLOYMENT REQUIREMENTS

In order to complete the terms of this Order, RESPONDENT must work as a nurse in the State of Texas, providing direct patient care in a clinical healthcare setting, for a minimum of sixty-four (64) hours per month for four (4) quarterly periods [one (1) year] of employment. This requirement will not be satisfied until four (4) quarterly periods of employment as a nurse have elapsed. Any quarterly period without continuous employment with the same employer for all three (3) months will not count towards completion of this requirement. Periods of unemployment or of employment that do not require the use of a registered nurse (RN) or a vocational nurse (LVN) license, as appropriate, will not apply to this period and will not count towards completion of this requirement.

- A. Notifying Present and Future Employers: RESPONDENT SHALL notify each present employer in nursing and present each with a complete copy of this Order, including all attachments, if any, within five (5) days of receipt of this Order. While under the terms of this Order, RESPONDENT SHALL notify all future employers in nursing and present each with a complete copy of this Order, including all attachments, if any, prior to accepting an offer of employment.
- B. Notification of Employment Forms: RESPONDENT SHALL CAUSE each present employer in nursing to submit the Board's "Notification of Employment" form to the Board's office within ten (10) days of receipt of this Order. RESPONDENT SHALL CAUSE each future employer to submit the Board's "Notification of Employment form" to the Board's office within five (5) days of employment as a nurse.
- C. Indirect Supervision: RESPONDENT SHALL be supervised by a Registered Nurse, if licensed as a Registered Nurse, or by a Licensed Vocational Nurse or a Registered Nurse, if licensed as a Licensed Vocational Nurse, who is on the premises. The supervising nurse is not required to be on the same unit or ward as RESPONDENT, but should be on the facility grounds and readily available to provide assistance and intervention if necessary. The supervising nurse shall have a minimum of two (2) years experience in the same or similar practice setting to which the Respondent is currently working. RESPONDENT SHALL work only regularly assigned, identified and predetermined unit(s). RESPONDENT SHALL NOT be employed by a nurse registry, temporary nurse employment agency, hospice, or home health agency. RESPONDENT SHALL NOT be self-employed or contract for services. Multiple employers are prohibited.
- **D. Nursing Performance Evaluations:** RESPONDENT SHALL CAUSE each employer to submit, on forms provided to the Respondent by the Board, periodic reports as to RESPONDENT'S capability to practice nursing. These reports shall be completed by the nurse who supervises the RESPONDENT and these reports shall be submitted by the supervising nurse to the office of the Board at the end of each three (3) month quarterly period for four (4) quarters [one (1) year] of employment as a nurse.

## V. DRUG AND ALCOHOL RELATED REQUIREMENTS

A. While under the terms of this Order, RESPONDENT SHALL abstain from the use of alcohol, tramadol and all controlled substances, except as prescribed by a licensed practitioner for a legitimate purpose. If prescribed, RESPONDENT SHALL CAUSE the licensed practitioner to submit a written report identifying the medication, dosage and the date the medication was prescribed. The report shall be submitted directly to the office of the Board by the prescribing practitioner, within ten (10) days of the date of the prescription. In the event that prescriptions for controlled substances are required for periods of two (2) weeks or longer, the Board

may require and RESPONDENT SHALL submit to a pain management and/or chemical dependency evaluation by a Board approved evaluator. The performing evaluator must submit a written report meeting the Board's requirements to the Board's office within thirty (30) days from the Board's request.

- B. While working as a nurse under the terms of this Order, RESPONDENT SHALL submit to random periodic screens for alcohol, tramadol, and controlled substances. The Board will provide instructions on how to enroll in the Board's drug and alcohol testing program following the entry of this Order and screening will begin when Respondent obtains employment and submits the Notification of Employment form to the Board.
  - For the first three (3) month [1<sup>st</sup> quarter] period RESPONDENT works as a nurse under the terms of this Order, random screens shall be performed at least once per week.
  - For the next three (3) month [2<sup>nd</sup> quarter] period, random screens shall be performed at least twice per month.
  - For the next six (6) month period [3<sup>rd</sup> & 4<sup>th</sup> quarters], random screens shall be performed at least once per month.
  - For the remainder of the stipulation/probation period, if any, random screens shall be performed at least once every three (3) month quarterly period.

All random screens SHALL BE conducted through urinalysis. Screens obtained through urinalysis are the sole method accepted by the Board. Any test result for a period of time in which the RESPONDENT is not working as a nurse under the terms of this Order will not count towards satisfaction of this requirement. All screens shall be properly monitored and produced in accordance with the Board's policy on Random Drug Testing. A complete chain of custody shall be maintained for each specimen obtained and analyzed. RESPONDENT SHALL be responsible for the costs of all random drug screening during the stipulation/probation period.

<u>Specimens shall be screened</u> for at least the following substances and their metabolites:

Amphetamines Meperidine
Barbiturates Methadone
Benzodiazepines Methaqualone

Cannabinoids Opiates

Cocaine Phencyclidine Ethanol Propoxyphene

tramadol hydrochloride (Ultram)

A Board representative may appear at the RESPONDENT'S place of employment at any time during the stipulation period and require RESPONDENT to produce a specimen for screening.

Consequences of Positive or Missed Screens. Any positive result for which RESPONDENT does not have a valid prescription or refusal to submit to a drug or alcohol screen may subject RESPONDENT to further disciplinary action, including TEMPORARY SUSPENSION pursuant to Section 301.4551, Texas Occupations Code, or REVOCATION of Respondent's license(s) and nurse licensure compact privileges, if any, to practice nursing in the State of Texas. Further, failure to report for a drug screen, excessive dilute specimens, or failure to call in for a drug screen may be considered the same as a positive result or refusal to submit to a drug or alcohol screen.

## VI. SUBSEQUENT CRIMINAL PROCEEDINGS

IT IS FURTHER AGREED, should the Respondent's conduct, as outlined in the findings of fact of this Order, result in subsequent judicial action, including a deferred disposition, Respondent may be subject to further disciplinary action, up to, and including, revocation of Respondent's license(s) to practice nursing in the State of Texas.

## VII. RESTORATION OF UNENCUMBERED LICENSE(S)

Upon full compliance with the terms of this Order, all encumbrances will be removed from RESPONDENT'S license(s) to practice nursing in the State of Texas and RESPONDENT may be eligible for nurse licensure compact privileges, if any.

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# RESPONDENT'S CERTIFICATION

I understand that I have the right to legal counsel prior to signing this Agreed Order. I have reviewed this Order. I neither admit nor deny the violation(s) alleged herein. By my signature on this Order. Lagree to the entry of this Order, and any conditions of said Order, to avoid partier disciplinary action in this matter. I waive judicial review of this Order of inderstand that when this Order becomes final and the terms of this Order become effective, a copy will be mailed to me. 1. understand that it I fail to comply with all terms and conditions of this Order. I will be subject to investigation and disciplinary sanction, including possible revocation of my occusers to practice nuising in the State of Texas, as a consequence of my noncompliance.

> Signed this 18th day of October 2016 M 1480X SHALEER, Respondent

Sworn to and subscribed before me this 18th day of October 1016
SEAL WAS

CHELSI MCLARTY otary Public, State of Texas My Commission Expires November 20, 2016

Notary Public in and for the State of TEYAS

Approved as to form and substance

Signed this 19th day of October 19016

WHEREFORE, PREMISES CONSIDERED, the Texas Board of Nursing does hereby ratify and adopt the Agreed Order that was signed on the 18<sup>th</sup> day of October, 2016, by ALLISON SHAFFER, Registered Nurse License Number 749889, and said Order is final.

Effective this 8th day of November, 2016.

Katherine A. Thomas, MN, RN, FAAN

Executive Director on behalf

of said Board