IN THE MATTER OF	8	BEFORE THE TEXAS
PERMANENT VOCATIONAL NURSE	8	
LICENSE NUMBER 186419	8	
ISSUED TO JAMES DEAN DUFNER	8	
RESPONDENT	Š	BOARD OF NURSING
	v	

NUNC PRO TUNC ORDER OF THE BOARD

TO:

JAMES DEAN DUFNER PO BOX 91 VANDERBILT, TX 77991

An Agreed Order of the Board was entered for James Dean Dufner on September 8, 2015. The Order, however, contained a typographical error on page 4 regarding the time period in which the Respondent must complete remedial education courses. Upon notice and hearing, administrative agencies, like the Courts, have the power to enter nunc pro tunc orders where it can be seen by reference to a record that what was intended to be entered, but was omitted by inadvertence or mistake, can be corrected upon satisfactory proof of its rendition provided that no intervening rights will be prejudiced. Railroad Comm'n v. McClain, 356 S.W.2d 330, 334 (Tex. App.--Austin 1962, no writ) (citing Frankfort Ky. Nat. Gas Co. v. City of Frankfort, 276 Ky. 199, 123 S.W.2d 270, 272).

The Executive Director, as agent of the Texas Board of Nursing, after review and due consideration of the record and the facts therein submits and enters the corrected Order, which contains the corrected time frame in which the Respondent must complete remedial education courses. Respondent received due process regarding his license; therefore, his rights have not been prejudiced.

NOW, THEREFORE, IT IS ORDERED that the corrected Order of the Board is hereby approved and entered on the dates set forth below.

Order effective September 8, 2015.

Entered this 2nd day of October, 2015.

BY:

KATHERINE A. THOMAS, MN, RN, FAAN EXECUTIVE DIRECTOR ON BEHALF OF SAID BOARD

I do hereby certify this to be a complete, accurate, and true copy of the document where is on file or is of record in the offices of the Texas Board of Nursing.

Texas Board of Nursing.

BEFORE THE TEXAS BOARD OF NURSING

In the Matter of § § § **AGREED** Vocational Nurse License Number 186419

issued to JAMES DEAN DUFNER ORDER

On this day the Texas Board of Nursing, hereinafter referred to as the Board, considered the matter of JAMES DEAN DUFNER, Vocational Nurse License Number 186419, hereinafter referred to as Respondent.

Information received by the Board produced evidence that Respondent may be subject to discipline pursuant to Section 301.452(b)(1)&(10), Texas Occupations Code. Respondent waived notice and hearing and agreed to the entry of this Order approved by Katherine A. Thomas, MN, RN, FAAN, Executive Director, on June 19, 2015.

FINDINGS OF FACT

- Prior to the institution of Agency proceedings, notice of the matters specified below in these 1. Findings of Fact was served on Respondent and Respondent was given an opportunity to show compliance with all requirements of the law for retention of the license(s).
- 2. Respondent waived notice and hearing, and agreed to the entry of this Order.
- Respondent's license to practice as a vocational nurse in the State of Texas is in current 3. status.
- Respondent received a Certificate in Vocational Nursing from Victoria College, Victoria, 4. Texas, on August 9, 2002. Respondent was licensed to practice vocational nursing in the State of Texas on October 31, 2002.
- 5. Respondent's vocational nursing employment history is unknown.

- 6. On or about August 6, 2013, Respondent was issued the sanction of a WARNING WITH STIPULATIONS by the Texas Board of Nursing. A copy of the Findings of Fact, Conclusions of Law and Agreed Order dated August 6, 2013, is attached and incorporated herein, by reference, as part of this Order.
- 7. On or about August 6, 2014, Respondent failed to comply with the Agreed Order issued to Respondent on August 6, 2013, by the Texas Board of Nursing. Noncompliance is the result of Respondent's failure to comply with Stipulation Number One (1) of the order which reads, in pertinent part:
 - (1) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in Texas nursing jurisprudence and ethics..."
- 8. On or about August 6, 2014, Respondent failed to comply with the Agreed Order issued to Respondent on August 6, 2013, by the Texas Board of Nursing. Noncompliance is the result of Respondent failure to comply with Stipulation Number Two (2) of the order which reads, in pertinent part:
 - (2) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in physical assessment..."
- 9. On or about August 6, 2014, Respondent failed to comply with the Agreed Order issued to Respondent on August 6, 2013, by the Texas Board of Nursing. Noncompliance is the result of Respondent's failure to comply with Stipulation Number Three (3) of the order which reads, in pertinent part:
 - (3) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in nursing documentation..."
- 10. On or about August 6, 2014, Respondent failed to comply with the Agreed Order issued to Respondent on August 6, 2013, by the Texas Board of Nursing. Noncompliance is the result of Respondent's failure to comply with Stipulation Number Four (4) of the order which reads, in pertinent part:
 - (4) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in "Detecting and Preventing Abuse and Neglect..."
- 11. In response to Findings of Fact Numbers Six (6) through Ten (10), Respondent states he has made numerous attempts to contact the board as to how and where he could take these courses and never received any responses.

- 12. Formal Charges were filed on April 1, 2015.
- 13. Formal Charges were mailed to Respondent on April 2, 2015.

CONCLUSIONS OF LAW

- 1. Pursuant to Texas Occupations Code, Sections 301.451-301.555, the Board has jurisdiction over this matter.
- 2. Notice was served in accordance with law.
- 3. The evidence received is sufficient to prove violation(s) of 22 Tex. ADMIN. CODE §217.12(11)(B).
- 4. The evidence received is sufficient cause pursuant to Section 301.452(b)(1)&(10), Texas Occupations Code, to take disciplinary action against Vocational Nurse License Number 186419, heretofore issued to JAMES DEAN DUFNER, including revocation of Respondent's license(s) to practice nursing in the State of Texas.
- 5. Pursuant to Section 301.463(d), Texas Occupations Code, this Agreed Order is a settlement agreement under Rule 408, Texas Rules of Evidence, in civil or criminal litigation.

TERMS OF ORDER

I. SANCTION AND APPLICABILITY

IT IS THEREFORE AGREED and ORDERED that RESPONDENT SHALL receive the sanction of **REPRIMAND WITH STIPULATIONS AND FINE** in accordance with the terms of this Order.

- A. This Order SHALL apply to any and all future licenses issued to Respondent to practice nursing in the State of Texas.
- B. This Order SHALL be applicable to Respondent's nurse licensure compact privileges, if any, to practice nursing in the State of Texas.
- C. Respondent may not work outside the State of Texas in another nurse licensure compact party state without first obtaining the written permission of the Texas Board of Nursing and the Board of Nursing in the nurse licensure compact party state where Respondent wishes to work.

II. COMPLIANCE WITH LAW

While under the terms of this Order, RESPONDENT agrees to comply in all respects with the Nursing Practice Act, Texas Occupations Code, §§301.001 *et seq.*, the Rules and Regulations Relating to Nurse Education, Licensure and Practice, 22 Tex. ADMIN. CODE §§211.1 *et seq.*, and this Order.

III. MONETARY FINE

RESPONDENT SHALL pay a monetary fine in the amount of one thousand dollars (\$1,000.00) within ninety (90) days of entry of this Order. Payment is to be made directly to the Texas Board of Nursing in the form of cashier's check or U.S. money order. Partial payments will not be accepted.

IV. COMPLIANCE WITH PRIOR ORDER

The Order of the Board issued to RESPONDENT on August 6, 2013, is still in effect in its entirety and RESPONDENT SHALL be responsible for completing the terms of that Order within six (6) months from the date of this Order.

V. RESTORATION OF UNENCUMBERED LICENSE(S)

Upon full compliance with the terms of this Order, all encumbrances will be removed from RESPONDENT'S license(s) to practice nursing in the State of Texas and RESPONDENT may be eligible for nurse licensure compact privileges, if any.

BALANCE OF THIS PAGE INTENTIONALLY LEFT BLANK.

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RESPONDENT'S CERTIFICATION

I understand that I have the right to legal counsel prior to signing this Agreed Order. I waive representation by counsel. I have reviewed this Order. I neither admit nor deny the violation(s) alleged herein. By my signature on this Order, I agree to the entry of this Order, and any conditions of said-Order, to avoid further disciplinary action in this matter. I waive judicial review of this Order. I understand that when this Order becomes final and the terms of this Order become effective, a copy will be mailed to me. I understand that if I fail to comply with all terms and conditions of this Order, I will be subject to investigation and disciplinary sanction, including possible revocation of my license(s) to practice nursing in the State of Texas, as a consequence of my noncompliance.

Signed this 23 day of

Sworn to and subscribed before me this 25 day of

SEAL

Expires:06-16-2018

Notary Public in and for the State of PECS

WHEREFORE, PREMISES CONSIDERED, the Texas Board of Nursing does

hereby ratify and adopt the Agreed Order that was signed on the <u>3rd</u> day of <u>August</u>, 20<u>15</u>, by JAMES DEAN DUFNER, Vocational Nurse License Number 186419, and said Order is final.

Effective this 8th day of September, 2015.

Katherine A. Thomas, MN, RN, FAAN

Executive Director on behalf

of said Board



BEFORE THE TEXAS BOARD OF NURSING

In the Matter of § AGREED Vocational Nurse License Number 186419 § issued to JAMES DEAN DUFNER § ORDER

On this day the Texas Board of Nursing, hereinafter referred to as the Board, considered the matter of JAMES DEAN DUFNER, Vocational Nurse License Number 186419, hereinafter referred to as Respondent.

Information received by the Board produced evidence that Respondent may be subject to discipline pursuant to Section 301.452(b)(10) & (13) and 301.453, Texas Occupations Code. Respondent waived representation by counsel, informal proceedings, notice and hearing, and agreed to the entry of this Order approved by Katherine A. Thomas, MN, RN, FAAN, Executive Director, on June 20, 2013, subject to ratification by the Board.

FINDINGS OF FACT

- Prior to the institution of Agency proceedings, notice of the matters specified below in these Findings of Fact was served on Respondent and Respondent was given an opportunity to show compliance with all requirements of the law for retention of the license(s).
- 2. Respondent waived representation by counsel, informal proceedings, notice and hearing, and agreed to the entry of this Order.
- 3. Respondent is currently licensed to practice vocational nursing in the State of Texas.
- 4. Respondent received a Certificate in Vocational Nursing from Viotoria College, Victoria, Texas, on August 9, 2002. Respondent was licensed to practice vocational nursing in the State of Texas on October 31, 2002.
- 5. Respondent's vocational nursing employment history includes:

2002 - 2004

LVN

Twin Pines Nursing and Rehabilitation Victoria, Texas

Respondent's vocational nursing employment history continued:

	~	onthing of
2004 - 2007	LVN	Windcrest Nursing and Rehabilitation Fredericksburg, Texas
2007 - 2010	ŁVN	Northwood Nursing and Rehabilitation Marble Falls, Taxas
2010 - 2011	ĽVN	Oaks Nursing Center Burnet, Texas
2011 - 2011	IVN	Northwood Nursing and Rehabilitation Marble Falls, Texas
2011 - 2011	LVN	Llano Nursing and Rehabilitation Llano, Texas
2012 - present	LVN	Regal Nursing and Rehabilitation Unknown

- 6. At the time of the initial incident, Respondent was employed as a Licensed Vocational Nurse with Liano Nursing and Rehabilitation, Liano, Texas, and had been in this position for approximately three (3) months.
- On or about November 18, 2011, while employed with Liano Nursing and Rehabilitation Center, Liano, Texas, Respondent falled to assess and/or document the assessment of Patient Ald who had fallen. Instead, Respondent falsely reported to the incoming shift that said patient had not fallen, contrary to what was reported to Respondent by a nurse's aide. Subsequently, Patient All was transported to a hospital and found to have a fractured hip. Respondent's ponduct resulted in an inaccurate medical record which was likely to deceive subsequent our givers who relied on the documentation while providing our to the patient and was likely to expose the patient unnecessarily to a risk of harm from complications due to undiagnosed and, consequently, untreated disease processes.
- In response to the incident in Findings of Fact Number Seven (7), Respondent states that the am CNA walked down the hall with him and they observed the resident at the foot of her recliner and that no one had reported anything to him. Respondent states that from what he had observed the resident had slid out of the recliner and had not fallen. Respondent states that his error in judgement was not filling out an incident report but adds that this has happened several times in several of other cases. Respondent states that it was a nursing judgement and at no time did he think the resident was injured or had fallen. Respondent states that it was twelve hours when he was notified that she was being sent out for an evaluation. Respondent states that if he had to do it again he would have gladly filled out a report. Respondent states that he didn't falsely report anything and that he had observed what he had seen and reported it.

CONCLUSIONS OF LAW

- 1. Pursuant to Texas Occupations Code, Sections 301:451-301.555, the Board has jurisdiction over this matter.
- 2. Notice was served in accordance with law.
- 3. The evidence received is sufficient to prove violation(s) of 22 Tex. ADMIN. Code §217.11(1)(A),(1)(B),(1)(D),(1)(M)&(1)(P) and 22 Tex. ADMIN. Code §217.12(1)(B),(1)(C), (4) & (6)(C).
- The evidence received is sufficient cause pursuant to Section 301.452(b)(10) & (13), Texas Occupations Code, to take disciplinary action against Vocational Nurse License Number 186419, heretofore issued to JAMES DEAN DUFNER, including revocation of Respondent's license(s) to practice nursing in the State of Texas.

ORDER.

IT IS THEREFORE AGREED and ORDERED, subject to ratification by the Texas Board of Nursing, that RESPONDENT SHALL receive the sanction of WARNING WITH STPULATIONS, and RESPONDENT SHALL comply in all respects with the Nursing Practice Act, Texas Occupations Code, \$\$301.001 et seq., the Rules and Regulations Relating to Nurse Education, Licensure and Practice, 22 Tex. Admin. Code \$211.1 et seq. and this Order.

IT IS FURTHER AGREED and ORDERED that, while under the terms of this Order, this Order SHALL apply to any and all future licenses issued to Respondent to practice nursing in the State of Texas:

IT IS FURTHER AGREED and ORDERED that this Order SHALL be applicable to Respondent's nurse licensure compact privileges, if any, to practice nursing in the State of Texas.

IT IS FURTHER AGREED and ORDERED that while Respondent's license(s) is/are encumbered by this Order, Respondent may not work outside the State of Texas pursuant to a nurse. licensure compact privilege without the written permission of the Texas Board of Nursing and the

Board of Nursing in the party state where Respondent wishes to work.

IT IS FURTHER AGREED that:

- (1) RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in Texas nursing jurispredence and ethics. RESPONDENT SHALL obtain Board approval of the course prior to enrollment only if the course is not being offered by a pre-approved provider. Home study courses and video programs will not be approved. In order for the course to be approved, the target audience shall include nurses. It shall be a minimum of six (6) hours in length. The course's content shall include the Nursing Practice Act, standards of practice, documentation of care, principles of nursing ethics; confidentiality, professional boundaries, and the Board's Disciplinary Sanction Policies regarding: Sexual Misconduct; Fraud, Theft and Deception; Nurses with Substance Abuse, Misuse, Substance Dependency, or other Substance Use Disorder, and Lying and Falsification. Courses focusing on malpractice issues will not be accepted. RESPONDENT SHALL CAUSE the sponsoring institution to submit a Verification of Course Completion form, provided by the Board to the Board to verify RESPONDENTS successful completion of the course. This course shall be taken in addition to any other courses stipulated in this Order, if any, and in addition to any continuing education requirements the Board has for relicensure. Board-approved courses may be found at the following Board website address: http://www.bon.texas.gov/disciplinaryaction/stipscourses.html.
- (2) RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in physical assessment. RESPONDENT SHALL obtain Board approval of the course prior to enrollment. Home study courses and video programs will not be approved. In order for the course to be approved, the target audience shall include Nurses. The didactic portion of this course shall be a minimum of six (6) hours in length. RESPONDENT SHALL perform physical

assessments on live patients in a clinical setting for a minimum of twenty-four (24) hours. The clinical component SHALL focus on tasks of physical assessment only and shall be provided by the same Registered Nurse who provides the didactic portion of this course. To be approved, the course shall cover all systems of the body. Performing assessments on mock patients or mannequins WILL NOT be accepted. The course description shall indicate goals and objectives for the course, resources to be utilized, and the methods to be used to determine successful completion of the course. RESPONDENT SHALL successfully complete both the didactic and clinical portions of the course to satisfy this stipulation. RESPONDENT SHALL CAUSE the instructor to submit a Verification of Course Completion form, provided by the Board, to the office of the Board to verify RESPONDENT Successful completion of the course. This course shall be taken in addition to any other courses stipulated in this Order, if any, and in addition to any continuing education requirements the Board has for relicensure. Board approved courses may be found at the following Board website address: https://www.bon.texas.gov/disciplinaryacutor/sitesseguesca.numle

(3) RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in nursing documentation. RESPONDENT SHALL obtain Board approval of the course prior to enrollment only if the course is not being offered by a pre-approved provider. Home study courses and video programs will not be approved. The course shall be a minimum of six (6) hours in length of classroom time. In order for the course to be approved, the target audience shall include Nurses. The course shall include confent on the following: nursing standards related to accurate and complete documentation; legal guidelines for recording; methods and processes of recording; methods of alternative record-keeping; and computerized documentation. RESPONDENT SHALL cause the instructor to submit a Verification of Course Completion form, provided by the Board, to the Board's office to verify RESPONDENT'S successful completion of

the course. This course shall be taken in addition to any other courses stipulated in this Order, if any, and in addition to any continuing education requirements the Board has for relicensure. Board-approved courses may be found at the following Board website address: http://www.bon.texas.gov/disciplinaryaction/stipscourses.html.

(4) RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in "Detecting and Preventing Abuse and Neglect ...," a five (5) contact hour workshop presented in various locations by the Texas Department of Aging and Disability Services. In order to receive credit for completion of this workshop, RESPONDENT SHALL SUBMIT the continuing education certificate of completion for this workshop to the Board's office, to the attention of Monitoring. This course is to be taken in addition to any continuing education requirements the Board may have for reliconsure. Information regarding Board-approved courses may be found at the following Board we be the address: http://www.bon.texas.gov/discip/inaryaction/stipscourses.html.

IT IS FURTHER AGREED, SHOULD RESPONDENT PRACTICE AS A NURSE IN THE STATE OF TEXAS, RESPONDENT WILL PROVIDE DIRECT PATIENT CARE AND PRACTICE IN A HOSPITAL, NURSING HOME, OR OTHER CLINICAL SETTING AND RESPONDENT MUST WORK IN SUCH SETTING A MINIMUM OF SIXTY-FOUR (64) HOURS FER MONTH UNDER THE FOLLOWING STIPULATIONS FOR ONE (1) YEAR(S) OF EMPLOYMENT. THE LENGTH OF THE STIPULATION PERIOD WILL BE EXTENDED UNTIL SUCH TWELVE (12) MONTHS HAVE ELAPSED. PERIODS OF UNEMPLOYMENT OR OF EMPLOYMENT THAT DO NOT REQUIRE THE USE OF A REGISTERED NURSE (RN) OR A VOCATIONAL NURSE (LVN) LICENSE, AS APPROPRIATE, WILL NOT APPLY TO THIS STIPULATION PERIOD:

- of the Board and the stipulations on RESPONDENT'S license(s). RESPONDENT SHALL present a complete copy of this Order and all Proposals for Decision issued by the Administrative Law Judge, if any, to each present employer within five (5) days of receipt of this Order. RESPONDENT SHALL notify all future employers in nursing of this Order of the Board and the stipulations on RESPONDENT'S license(s). RESPONDENT SHALL present a complete copy of this Order and all Proposals for Decision issued by the Administrative Law Judge, if any, to each future employer prior to accepting an offer of employment.
- (6) RESPONDENT SHALL CAUSE each present employer in nursing to submit the Notification of Employment form, which is provided to the Respondent by the Board, to the Board's office within ten (10) days of receipt of this Order. RESPONDENT SHALL CAUSE each future employer to submit the Notification of Employment form, which is provided to the Respondent by the Board, to the Board's office within five (5) days of employment as a nurse.
- Registered Nurse, or by a Licensed Vocational Nurse or a Registered Nurse, if licensed as a Licensed Vocational Nurse, or by a Licensed Vocational Nurse or a Registered Nurse, if licensed as a Licensed Vocational Nurse, who is on the premises. The supervising nurse is not required to be on the same unit or ward as RESPONDENT, but should be on the facility grounds and readily available to provide assistance and intervention if necessary. The supervising nurse shall have a minimum of two (2) years experience in the same or similar practice setting to which the Respondent is currently working. RESPONDENT SHALL work only regularly assigned, identified and predetermined unit(s). RESPONDENT SHALL NOT be employed by a nurse registry, temporary nurse employment agency, hospice, or home health agency. RESPONDENT SHALL NOT be self-employed or contract for services. Multiple employers are prohibited.

(8) RESPONDENT SHALL CAUSE each employer to submit, on forms provided to the Respondent by the Board, periodic reports as to RESPONDENT'S capability to practice nursing. These reports shall be completed by the nurse who supervises the RESPONDENT. These reports shall be submitted by the supervising nurse to the office of the Board at the end of each three (3) month period for one (1) year(s) of employment as a nurse.

IT IS FURTHER AGREED, that upon full compliance with the terms of this Order, all encumbrances will be removed from RESPONDENT'S license(s) to practice nursing in the State of Texas and RESPONDENT may be eligible for nurse licensure compact privileges, if any.

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RESPONDENT'S CERTIFICATION

I understand that I have the right to legal counsel prior to signing this Agreed Order. I waive representation by counsel. I have reviewed this Order. I neither admit nor deny the violation(s) alleged herein. By my signature on this Order, I agree to the Pindings of Pact, Conclusions of Law, Order, and any conditions of said Order, to avoid further disciplinary action in this matter. I waive judicial review of this Order. I understand that this Order is subject to ratification by the Board. When this Order is ratified, the terms of this Order become effective, and a copy will be mailed to me. Lunderstand that if I fail to comply with all terms and conditions of this Order, I will be subject to investigation and disciplinary sanction, including revocation of my license(s) to practice nursing in the State of Texas, as a consequence of my noncompliance.

Sworn to and subscribed before me this ... day of

SEAL

Notary Public in and for the State of

WHEREFORE, PREMISES CONSIDERED, the Texas Board of Nursing does
hereby ratify and adopt the Agreed Order that was signed on the 19th day of July
, 20 13, by JAMES DEAN DUFNER, Vocational Nurse License Number 186419, and said
Order is final.
Effective this 6th day of August 2013
Letterine Co. Momas
Katherine A. Thomas, MN, RN, FAAN
Executive Director on behalf of said Board

BEFORE THE TEXAS BOARD OF NURSING

In the Matter of \$ AGREED Vocational Nurse License Number 186419 \$ issued to JAMES DEAN DUFNER \$ ORDER

On this day the Texas Board of Nursing, hereinafter referred to as the Board, considered the matter of JAMES DEAN DUFNER, Vocational Nurse License Number 186419, hereinafter referred to as Respondent.

Information received by the Board produced evidence that Respondent may be subject to discipline pursuant to Section 301.452(b)(1)&(10), Texas Occupations Code. Respondent waived notice and hearing and agreed to the entry of this Order approved by Katherine A. Thomas, MN, RN, FAAN, Executive Director, on June 19, 2015.

FINDINGS OF FACT

- 1. Prior to the institution of Agency proceedings, notice of the matters specified below in these Findings of Fact was served on Respondent and Respondent was given an opportunity to show compliance with all requirements of the law for retention of the license(s).
- 2. Respondent waived notice and hearing, and agreed to the entry of this Order.
- 3. Respondent's license to practice as a vocational nurse in the State of Texas is in current status.
- 4. Respondent received a Certificate in Vocational Nursing from Victoria College, Victoria, Texas, on August 9, 2002. Respondent was licensed to practice vocational nursing in the State of Texas on October 31, 2002.
- Respondent's vocational nursing employment history is unknown.

- 6. On or about August 6, 2013, Respondent was issued the sanction of a WARNING WITH STIPULATIONS by the Texas Board of Nursing. A copy of the Findings of Fact, Conclusions of Law and Agreed Order dated August 6, 2013, is attached and incorporated herein, by reference, as part of this Order.
- 7. On or about August 6, 2014, Respondent failed to comply with the Agreed Order issued to Respondent on August 6, 2013, by the Texas Board of Nursing. Noncompliance is the result of Respondent's failure to comply with Stipulation Number One (1) of the order which reads, in pertinent part:
 - (1) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in Texas nursing jurisprudence and ethics..."
- 8. On or about August 6, 2014, Respondent failed to comply with the Agreed Order issued to Respondent on August 6, 2013, by the Texas Board of Nursing. Noncompliance is the result of Respondent failure to comply with Stipulation Number Two (2) of the order which reads, in pertinent part:
 - (2) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in physical assessment..."
- 9. On or about August 6, 2014, Respondent failed to comply with the Agreed Order issued to Respondent on August 6, 2013, by the Texas Board of Nursing. Noncompliance is the result of Respondent's failure to comply with Stipulation Number Three (3) of the order which reads, in pertinent part:
 - (3) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in nursing documentation..."
- 10. On or about August 6, 2014, Respondent failed to comply with the Agreed Order issued to Respondent on August 6, 2013, by the Texas Board of Nursing. Noncompliance is the result of Respondent's failure to comply with Stipulation Number Four (4) of the order which reads, in pertinent part:
 - (4) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in "Detecting and Preventing Abuse and Neglect..."
- 11. In response to Findings of Fact Numbers Six (6) through Ten (10), Respondent states he has made numerous attempts to contact the board as to how and where he could take these courses and never received any responses.

- 12. Formal Charges were filed on April 1, 2015.
- 13. Formal Charges were mailed to Respondent on April 2, 2015.

CONCLUSIONS OF LAW

- 1. Pursuant to Texas Occupations Code, Sections 301.451-301.555, the Board has jurisdiction over this matter.
- 2. Notice was served in accordance with law.
- 3. The evidence received is sufficient to prove violation(s) of 22 Tex. ADMIN. CODE §217.12(11)(B).
- 4. The evidence received is sufficient cause pursuant to Section 301.452(b)(1)&(10), Texas Occupations Code, to take disciplinary action against Vocational Nurse License Number 186419, heretofore issued to JAMES DEAN DUFNER, including revocation of Respondent's license(s) to practice nursing in the State of Texas.
- 5. Pursuant to Section 301.463(d), Texas Occupations Code, this Agreed Order is a settlement agreement under Rule 408, Texas Rules of Evidence, in civil or criminal litigation.

TERMS OF ORDER

I. SANCTION AND APPLICABILITY

IT IS THEREFORE AGREED and ORDERED that RESPONDENT SHALL receive the sanction of **REPRIMAND WITH STIPULATIONS AND FINE** in accordance with the terms of this Order.

- A. This Order SHALL apply to any and all future licenses issued to Respondent to practice nursing in the State of Texas.
- B. This Order SHALL be applicable to Respondent's nurse licensure compact privileges, if any, to practice nursing in the State of Texas.
- C. Respondent may not work outside the State of Texas in another nurse licensure compact party state without first obtaining the written permission of the Texas Board of Nursing and the Board of Nursing in the nurse licensure compact party state where Respondent wishes to work.

II. COMPLIANCE WITH LAW

While under the terms of this Order, RESPONDENT agrees to comply in all respects with the Nursing Practice Act, Texas Occupations Code, §§301.001 et seq., the Rules and Regulations Relating to Nurse Education, Licensure and Practice, 22 Tex. ADMIN. Code §§211.1 et seq., and this Order.

III. MONETARY FINE

RESPONDENT SHALL pay a monetary fine in the amount of one thousand dollars (\$1,000.00) within ninety (90) days of entry of this Order. Payment is to be made directly to the Texas Board of Nursing in the form of cashier's check or U.S. money order. Partial payments will not be accepted.

IV. COMPLIANCE WITH PRIOR ORDER

The Order of the Board issued to RESPONDENT on August 6, 2013, is still in effect in its entirety and RESPONDENT SHALL be responsible for completing the terms of that Order,

V. RESTORATION OF UNENCUMBERED LICENSE(S)

Upon full compliance with the terms of this Order, all encumbrances will be removed from RESPONDENT'S license(s) to practice nursing in the State of Texas and RESPONDENT may be eligible for nurse licensure compact privileges, if any.

BALANCE OF THIS PAGE INTENTIONALLY LEFT BLANK.

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RESPONDENT'S CERTIFICATION

I understand that I have the right to legal counsel prior to signing this Agreed Order. I waive representation by counsel. I have reviewed this Order. I neither admit nor deny the violation(s) alleged herein. By my signature on this Order, I agree to the entry of this Order, and any conditions of said-Order, to avoid further disciplinary action in this matter. I waive judicial review of this Order. I understand that when this Order becomes final and the terms of this Order become effective, a copy will be mailed to me. I understand that if I fail to comply with all terms and conditions of this Order, I will be subject to investigation and disciplinary sanction, including possible revocation of my license(s) to practice nursing in the State of Texas, as a consequence of my noncompliance.

Sworn to and subscribed before me this

SEAL

Notary Public in and for the State of POCS

WHEREFORE, PREMISES CONSIDERED, the Texas Board of Nursing does

hereby ratify and adopt the Agreed Order that was signed on the <u>3rd</u> day of <u>August</u>, 20<u>15</u>, by JAMES DEAN DUFNER, Vocational Nurse License Number 186419, and said Order is final.

Effective this 8th day of September, 2015.

Katherine A. Thomas, MN, RN, FAAN

Executive Director on behalf

of said Board



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BEFORE THE TEXAS BOARD OF NURSING

In the Matter of

AGREED

Vocational Nurse License Number 186419

issued to JAMES DEAN DUFNER

ORDER

On this day the Texas Board of Nursing, hereinafter referred to as the Board, considered the matter of JAMES DEAN DUFNER, Vocational Nurse License Number 186419, hereinafter referred to as Respondent.

Information received by the Board produced evidence that Respondent may be subject to discipline pursuant to Section 301.452(b)(10) & (13) and 301.453, Texas Occupations Code. Respondent waived representation by counsel, informal proceedings, notice and hearing, and agreed to the entry of this Order approved by Katherine A. Thomas, MN, RN, FAAN, Executive Director, on June 20, 2013, subject to ratification by the Board.

ENDINGS OF FACT

- 1. Prior to the institution of Agency proceedings, notice of the matters specified below in these Findings of Fact was served on Respondent and Respondent was given an opportunity to show compliance with all requirements of the law for retention of the license(s).
- 2. Respondent waived representation by counsel, informal proceedings, notice and hearing, and agreed to the entry of this Order.
- 3. Respondent is currently licensed to practice vocational nursing in the State of Texas.
- 4. Respondent received a Certificate in Vocational Nursing from Victoria College, Victoria, Texas, on August 9, 2002. Respondent was licensed to practice vocational nursing in the State of Texas on October 31, 2002.
- Respondent's vocational nursing employment history includes:

2002 - 2004

LVN

Twin Pines Nursing and Rehabilitation Victoria, Texas

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Respondent's vocation	onal nursing emplo	yment history continued:
2004 - 2007	LVN	Windcrest Nursing and Rehabilitation Fredericksburg, Texas
2007 - 2010	LVN	Northwood Nursing and Rehabilitatio Marble Falls, Texas
2010 - 2011	LVN	Oaks Nursing Center Burnet, Texas
2011 - 2011	LVN	Northwood Nursing and Rehabilitation Marble Falls, Texas
2011 - 2011	LVN	Llano Nursing and Rehabilitation Llano, Texas
2012 - present	LVN	Regal Nursing and Rehabilitation Unknown

- 6. At the time of the initial incident, Respondent was employed as a Licensed Vocational Nurse with Liano Nursing and Rehabilitation, Liano, Texas, and had been in this position for approximately three (3) months.
- On or about November 18, 2011, while employed with Llane Nursing and Rehabilitation Center, Llane, Texas, Respondent falled to assess and/or document the assessment of Patient AH who had fallen. Instead, Respondent falsely reported to the incoming shift that said patient had not fallen, contrary to what was reported to Respondent by a nurse's aide. Subsequently, Patient AH was transported to a hospital and found to have a fractured hip. Respondent's conduct resulted in an inaccurate medical record which was likely to deceive subsequent pare givers who relied on the documentation while providing care to the patient and was likely to expose the patient unnecessarily to a risk of harm from complications due to undragnosed and, consequently, untreated disease processes.
- In response to the incident in Findings of Fact Number Seven (7), Respondent states that the am CNA walked down the hall with him and they observed the resident at the foot of her recliner and that no one had reported anything to him. Respondent states that from what he had observed the resident had slid out of the recliner and had not fallen. Respondent states that fils error in judgement was not filling out an incident report but adds that this has happened several times in several of other cases. Respondent states that it was a nursing judgement and at no time did he think the resident was injured or had fallen. Respondent states that it was twelve hours when he was notified that she was being sent out for an evaluation. Respondent states that if he had to do it again he would have gladly filled out a report. Respondent states that he didn't falsely report anything and that he had observed what he had seen and reported it.

CONCLUSIONS OF LAW

- Pursuant to Texas Occupations Code, Sections 301:451-301.555, the Board has jurisdiction over this matter.
- 2. Notice was served in accordance with law.
- The evidence received is sufficient to prove violation(s) of 22 Tex. ADMIN. CODE §217.11(1)(A),(1)(B),(1)(D),(1)(M)&(1)(P) and 22 Tex. ADMIN. CODE §217.12(1)(B),(1)(C), (4) & (6)(C).
- The evidence received is sufficient cause pursuant to Section 301.452(b)(10) & (13), Texas Occupations Code, to take disciplinary action against Vocational Nurse License Number 186419, heretofore issued to JAMES DEAN DUFNER, including revocation of Respondent's license(s) to practice nursing in the State of Texas.

ORDER

IT IS THEREFORE AGREED and ORDERED, subject to ratification by the Texas Board of Norsing, that RESPONDENT SHALL receive the sanction of WARNING WITH STPULATIONS, and RESPONDENT SHALL comply in all respects with the Nursing Practice Act, Texas Occupations Code, \$§301.001 et seq., the Rules and Regulations Relating to Nurse Education, Licensure and Practice, 22 Tex. Advant. Code §211.1 et seq. and this Order.

IT IS FURTHER AGREED and ORDERED that, while under the terms of this Order, this Order SHALL apply to any and all future licenses issued to Respondent to practice nursing in the State of Texas:

IT IS FURTHER AGREED and ORDERED that this Order SHALL be applicable to Respondent's nurse licensure compact privileges, if any, to practice nursing in the State of Texas.

IT IS FURTHER AGREED and ORDERED that while Respondent's license(s) is/are encumbered by this Order, Respondent may not work outside the State of Texas pursuant to a nurse. licensure compact privilege without the written permission of the Texas Board of Nursing and the

Board of Nursing in the party state where Respondent wishes to work.

IT IS FURTHER AGREED that:

- (1) RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in Texas nursing jurisprudence and ethics. RESPONDENT SHALL obtain Board approval of the course prior to enrollment only if the course is not being offered by a pre-approved provider. Home study courses and video programs will not be approved. In order for the course to be approved, the target audience shall include nurses. It shall be a minimum of six (6) hours in length. The course's content shall include the Nursing Practice Act, standards of practice, documentation of care, principles of nursing ethics, confidentiality, professional boundaries, and the Board's Disciplinary Sanction Policies regarding: Sexual Misconduct; Fraud, Theft and Deception; Nurses with Substance Abuse, Misuse, Substance Dependency, or other Substance Use Disorder, and Lying and Palsification. Courses focusing on malpractice issues will not be accepted. RESPONDENT SHALL CAUSE the sponsoring institution to submit a Verification of Course Completion form, provided by the Board, to the Office of the Board to verify RESPONDENT'S successful completion of the course. This course shall be taken in addition to any other courses stipulated in this Order, if any, and in addition to any continuing education requirements the Board has for relicensure. Board-approved courses may be found at the following Board website address: http://www.bon.texas.gov/disciplinaryaction/stipscourses.html.
- (2) RESPONDENT SHALL, within one (I) year of entry of this Order, successfully complete a course in physical assessment. RESPONDENT SHALL obtain Board approval of the course prior to enrollment. Home study courses and video programs will not be approved. In order for the course to be approved, the target audience shall include Nurses. The didactic portion of this course shall be a minimum of six (6) hours in length. RESPONDENT SHALL perform physical

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assessments on live patients in a clinical setting for a minimum of twenty-four (24) hours. The clinical component SHALL focus on tasks of physical assessment only and shall be provided by the same Registered Nurse who provides the didactic portion of this course. To be approved, the course shall cover all systems of the body. Performing assessments on mock patients or mannequins WILL NOT be accepted. The course description shall indicate goals and objectives for the course, resources to be utilized, and the methods to be used to determine successful completion of the course. RESPONDENT SHALL successfully complete both the didactic and clinical portions of the course to satisfy this stipulation. RESPONDENT SHALL CAUSE the instructor to submit a Verification of Course Completion form, provided by the Board, to the office of the Board to verify RESPONDENT'S successful completion of the course. This course shall be taken in addition to any other courses stipulated in this Order, if any, and in addition to any continuing education requirements the Board has for relicensure. Board approved courses may be found at the following Board website address: http://www.bon.texas.gov/disciplinaryaction/sitpscourses.html

(3) RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in nursing documentation. RESPONDENT SHALL obtain Board approval of the course prior to enrollment only if the course is not being offered by a pre-approved provider. Home study courses and video programs will not be approved. The course shall be a minimum of six (6) hours in length of classroom time. In order for the course to be approved, the target audience shall include Nurses. The course shall include content on the following: nursing standards related to accurate and complete documentation; legal guidelines for recording; methods and processes of recording; methods of alternative record-keeping; and computerized documentation. RESPONDENT SHALL cause the instructor to submit a Verification of Course Completion form, provided by the Board, to the Board's office to verify RESPONDENT'S successful completion of

- (5) RESPONDENT SHALL notify each present employer in nursing of this Order of the Board and the stipulations on RESPONDENT'S license(s). RESPONDENT SHALL present a complete copy of this Order and all Proposals for Decision issued by the Administrative Law Judge, if any, to each present employer within five (5) days of receipt of this Order. RESPONDENT SHALL notify all future employers in nursing of this Order of the Board and the stipulations on RESPONDENT'S license(s). RESPONDENT SHALL present a complete copy of this Order and all Proposals for Decision issued by the Administrative Law Judge, if any, to each future employer prior to accepting an offer of employment.
- (6) RESPONDENT SHALL CAUSE each present employer in nursing to submit the Notification of Employment form, which is provided to the Respondent by the Board, to the Board's office within ten (10) days of receipt of this Order. RESPONDENT SHALL CAUSE each future employer to submit the Notification of Employment form, which is provided to the Respondent by the Board, to the Board's office within five (5) days of employment as a nurse.
- (7) RESPONDENT SHALL be supervised by a Registered Nurse, if licensed as a Registered Nurse, or by a Licensed Vocational Nurse or a Registered Nurse, if licensed as a Licensed Vocational Nurse, who is on the premises. The supervising nurse is not required to be on the same unit or ward as RESPONDENT, but should be on the facility grounds and readily available to provide assistance and intervention if necessary. The supervising nurse shall have a minimum of two (2) years experience in the same or similar practice setting to which the Respondent is currently working. RESPONDENT SHALL work only regularly assigned, identified and predetermined unit(s). RESPONDENT SHALL NOT be employed by a nurse registry, temporary nurse employment agency, hospice, or home health agency. RESPONDENT SHALL NOT be self-employed or contract for services. Multiple employers are prohibited.

(8) RESPONDENT SHALL CAUSE each employer to submit, on forms provided to the Respondent by the Board, periodic reports as to RESPONDENT'S capability to practice nursing. These reports shall be completed by the nurse who supervises the RESPONDENT. These reports shall be submitted by the supervising nurse to the office of the Board at the end of each three (3) month period for one (1) year(s) of employment as a nurse.

IT IS FURTHER AGREED, that upon full compliance with the terms of this Order, all encumbrances will be removed from RESPONDENT'S license(s) to practice nursing in the State of Texas and RESPONDENT may be eligible for nurse licensure compact privileges, if any.

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RESPONDENT'S CERTIFICATION

I understand that I have the right to legal counsel prior to signing this Agreed Order. I waive representation by counsel. I have reviewed this Order. I neither admit nor deny the violation(s) alleged herein. By my signature on this Order, I agree to the Findings of Fact, Conclusions of Law, Order, and any conditions of said Order, to avoid further disciplinary action in this matter. I waive judicial review of this Order. I understand that this Order is subject to ratification by the Board. When this Order is ratified, the terms of this Order become effective, and a copy will be mailed to me. I understand that if I fall to comply with all terms and conditions of this Order, I will be subject to investigation and disciplinary sanction, including revocation of my license(s) to practice nursing in the State of Texas, as a consequence of my noncompliance.

Signed this	A day of.	July	. 2015.
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Sworn to and subscribed before me this 19, day of 10, 19, 2013.

Notary Public in and for the State of TEXAS

WHERE	PORE, PREMISES CONSIDERED, the Texas Board of Nursing does
	the Agreed Order that was signed on the 19th day of July
, 20 <u>_13</u> , by JAM	ES DEAN DUFNER, Vocational Nurse License Number 186419, and said
Order is final.	Phase town 15, 1000 (Middlesteinager), of Arthodoximography, Nach (Nach Const.
•	Effective this 6th day of August 2013
	Katherine Co. Momas
	Katherine A. Thomas, MN, RN, FAAN Executive Director on behalf of said Board