BEFORE THE TEXAS BOARD OF NURSING

§

In the Matter of

§ AGREED

Registered Nurse License Number 453156

issued to JUDITH ANN ROTHOLZ

ORDER

On this day the Texas Board of Nursing, hereinafter referred to as the Board, considered the matter of JUDITH ANN ROTHOLZ, Registered Nurse License Number 453156, hereinafter referred to as Respondent. This action was taken in accordance with Section 301.453(c), Texas Occupations Code.

Respondent waived notice and hearing and agreed to the entry of this Order.

The Board makes the following Findings of Fact and Conclusions of Law.

FINDINGS OF FACT

- 1. Prior to the institution of Agency proceedings, notice of the matters specified below in these Findings of Fact was served on Respondent and Respondent was given an opportunity to show compliance with all requirements of the law for retention of the license(s).
- 2. Respondent waived notice and hearing, and agreed to the entry of this Order.
- 3. Respondent's license to practice as a professional nurse in the State of Texas is in delinquent status.
- 4. Respondent received an Diploma in Nursing from Hinsdale School of Nursing, Hinsdale, Illinois on November 1, 1961. Respondent was licensed to practice vocational nursing in the State of Texas on May 29, 1980.
- 5. Respondent's nursing employment history is unknown.
- 6. On or about November 12, 2012, Respondent was issued the sanction of WARNING WITH STIPULATIONS by the Texas Board of Nursing. A copy of the Findings of Fact, Conclusions of Law, and Agreed Order dated November 12, 2013, is attached and incorporated, by reference, as part of this Order.

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- 7. On or about November 13, 2014, Respondent failed to comply with the Agreed Order issued to her on November 12, 2013, by the Texas Board of Nursing. Non-compliance is the result of Respondent's failure to comply with Stipulation Numbers One (1), Two (2), Three (3), and Four (4), of the Order which states, in pertinent part:
 - (1) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in Texas nursing jurisprudence and ethics..."
 - (2) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in medication administration..."
 - (3) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in nursing documentation..."
 - (4) "RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete the course "Sharpening Critical Thinking Skills," a 3.6 contact hour online program provided by the National Council of State Boards of Nursing (NCSBN) Learning Extension..."
- 8. In response to Findings of Fact Number Seven (7), Respondent states she retired June 2012, and did not renew her license as of April 2014, as she had no intention of working as an RN during the rest of her lifetime. She is 74 years old and wants to spend time w/her grandchildren.
- 9. Respondent, by his/her signature to this Order, expresses his/her desire to voluntarily surrender his/her license(s) to practice nursing in the State of Texas.

CONCLUSIONS OF LAW

- 1. Pursuant to Texas Occupations Code, Sections 301.451-301.555, the Board has jurisdiction over this matter.
- 2. Notice was served in accordance with law.
- 3. The evidence received is sufficient to prove violation(s) of and 22 Tex. ADMIN. CODE §217.12(11)(B).
- 4. The evidence received is sufficient cause pursuant to Section 301.452(b)(1)&(10), Texas Occupations Code, to take disciplinary action against Registered Nurse License Number 453156, heretofore issued to JUDITH ANN ROTHOLZ, including revocation of Respondent's license(s) to practice nursing in the State of Texas.

- 5. Pursuant to Section 301.463(d), Texas Occupations Code, this Agreed Order is a settlement agreement under Rule 408, Texas Rules of Evidence, in civil or criminal litigation.
- 6. Under Section 301.453(c), Texas Occupations Code, the Board has the authority to accept the voluntary surrender of a license.
- 7. Under Section 301.453(d), Texas Occupations Code, as amended, the Board may impose conditions for reinstatement of licensure.
- 8. Any subsequent reinstatement of this license will be controlled by Section 301.453(d), Texas Occupations Code, and 22 TEX. ADMIN. CODE §213.26-.29, and any amendments thereof in effect at the time of the reinstatement.

TERMS OF ORDER

NOW, THEREFORE, IT IS AGREED and ORDERED that the **VOLUNTARY SURRENDER** of Registered Nurse License Number 453156, heretofore issued to JUDITH ANN
ROTHOLZ, to practice nursing in the State of Texas, is accepted by the Texas Board of Nursing.
In connection with this acceptance, the Board imposes the following conditions:

- 1. RESPONDENT SHALL NOT practice professional/registered nursing, use the title "registered nurse" or the abbreviation "RN" or wear any insignia identifying herself/himself as a registered nurse or use any designation which, directly or indirectly, would lead any person to believe that RESPONDENT is a registered nurse during the period in which the license is surrendered.
- 2. RESPONDENT SHALL NOT petition for reinstatement of licensure until one (1) year has elapsed from the date of this Order.
- 3. Upon petitioning for reinstatement, RESPONDENT SHALL satisfy all then existing requirements for relicensure.

IT IS FURTHER AGREED and ORDERED that this Order SHALL be applicable to Respondent's nurse licensure compact privileges, if any, to practice nursing in the State of Texas.

BALANCE OF THIS PAGE INTENTIONALLY LEFT BLANK. CONTINUED ON NEXT PAGE.

RESPONDENT'S CERTIFICATION

I understand that I have the right to legal counsel prior to signing this Agreed Order. I waive representation by counsel. I have reviewed this Order. I neither admit nor deny the violation(s) alleged herein. By my signature on this Order, I agree to the entry of this Order, and any conditions of said Order, to avoid further disciplinary action in this matter. I waive judicial review of this Order. I understand that this Order becomes final when accepted by the Executive Director at which time the terms of this Order become effective and a copy will be mailed to me.

igned this // day of

JUDITH ANN ROTHOLZ, Respondent

Sworn to and subscribed before me this that day of July , 2015

TERRI LUTRINGER
NOTARY PUBLIC
STATE OF TEXAS
My Commission Expires 08-19-2016

Notary Public in and for the State of

WHEREFORE, PREMISES CONSIDERED, the Executive Director, on behalf of the Texas Board of Nursing, does hereby accept the voluntary surrender of Registered Nurse License Number 453156, previously issued to JUDITH ANN ROTHOLZ.

Effective this 22nd day of July, 20 15.

Katherine A. Thomas, MN, RN, FAAN

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Executive Director on behalf

of said Board

BEFORE THE TEXAS BOARD OF NURSING



In the Matter of

§ AGREED

Registered Nurse License Number 453156

issued to JUDITH ANN ROTHOLZ

ORDER

On this day the Texas Board of Nursing, hereinafter referred to as the Board, considered the matter of JUDITH ANN ROTHOLZ, Registered Nurse License Number 453156, hereinafter referred to as Respondent.

Information received by the Board produced evidence that Respondent may be subject to discipline pursuant to Section 301.452(b)(10)&(13) and 301.453. Texas Occupations Code. Respondent waived representation by counsel, informal proceedings, notice and hearing, and agreed to the entry of this Order approved by Katherine A. Thomas, MN, RN, FAAN, Executive Director, on June 18, 2013, subject to ratification by the Board.

FINDINGS OF FACT

- 1. Prior to the institution of Agency proceedings, notice of the matters specified below in these Findings of Fact was served on Respondent and Respondent was given an opportunity to show compliance with all requirements of the law for retention of the license(s).
- 2. Respondent waived representation by counsel, informal proceedings, notice and hearing, and agreed to the entry of this Order.
- 3. Respondent is currently licensed to practice professional nursing in the State of Texas.
- 4. Respondent received an Diploma in Nursing from Hinsdale School of Nursing, Hinsdale, Illinois on November 1, 1961. Respondent was licensed to practice vocational nursing in the State of Texas on May 29, 1980.
- 5. Respondent's nursing employment history includes:

1961 - 1966	Registered Nurse	Independent Contractor Evanston, Illinois	
1966 - 1969	Registered Nurse	Dr. Grubb's Office Northbrook, Illinois	

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Respondent's nursing employment history continued:

1969 - 1975	Registered Nurse	Glenbrook South High School Glenview, Illinois
1979 - 1985	Registered Nurse	Gulf Coast Medical Center Wharton, Texas
1986 - 1992	Registered Nurse	State of Texas - Texas Department of Mental Health and Mental Retardation Wharton, Texas
1992 - 1994	Registered Nurse	Wharton Manor Nursing Home Wharton, Texas
1994 - 2004	Registered Nurse	Community Home Care Wharton, Texas
2004 - present	Registered Nurse	Wharton Independent School District Wharton, Texas

- 6. At the time of the initial incident, Respondent was employed as a Registered Nurse with Wharton Independent School District and assigned to Sivell Elementary, Wharton, Texas, and had been in this position for eight (8) years.
- 7. On or about January 12, 2012 through February 16, 2012, while employed with Wharton Independent School District (WISD), and assigned to Sivell Elementary, Wharton, Texas, Respondent failed to obtain and verify medication orders for Students Identification Numbers 169199, 16686, 17156 and 16622. Respondent administered medication without verifying the medication order. Respondent's conduct resulted in an incomplete medical record which was likely to injure the students in that subsequent care givers would rely on her documentation to further medicate the students.
- 8. On or about January 12, 2012 through February 16, 2012, while employed with Wharton Independent School District (WISD), and assigned to Sivell Elementary, Wharton, Texas, Respondent failed to administer seizure medications as ordered by the physician to Students Identification Numbers 16775, 16441, and 17118. Respondent's conduct exposed the student unnecessarily to a risk of harm from medical complications, such as, convulsions and loss of consciousness, related to seizure disorders as a result of missed medication doses.
- 9. On or about February 16, 2012, while employed with WISD, and assigned to Sivells Elementary, Wharton. Texas, Respondent failed to administer medications to Students Identification Numbers 16441, 16686, and 17118. Respondent states that she was working on her computer and failed to administer medication. Respondent failed notify supervisor of missed medication. Respondent's conduct was likely to injure the students in that failure to administer medications as ordered by the physician could have resulted in non-efficacious treatment.

- 10. On or about February 21, 2012, while employed with WISD, and assigned to Sivells Elementary, Wharton, Texas. Respondent failed to timely document administration of medications to Students Identification Numbers 16441, 16686, and 17156. Respondent's conduct resulted in an incomplete medical record which was likely to deceive subsequent care givers who relied on the information while providing further care to the students.
- 11. On or about February 22, 2012, while employed with WISD, and assigned to Sivells Elementary, Respondent falsely documented a vision and hearing screening on Student Identification Numbers 17359, which she had not performed. Respondent's conduct resulted in an inaccurate medical record which was likely to deceive subsequent care givers who relied on the information while providing further care to the student.
- 12. In response to Findings of Fact Numbers Seven (7) through Eleven (11), Respondent states that Order sheets were at the M.D.'s office waiting for M.D.'s signature. Prior to this change in policy, we had used the prescription on the medicine bottle as the M.D.'s. Regarding February 16, 2012, Respondent states that the medications were not given. Pertaining to February 21, 2012, Respondent states that she was late documenting the medications she had just given. Regarding vision and hearing screening. Respondent states that she had two new students to test for vision and hearing. Respondent states that she asked the student if this was his name and he said "yes," Respondent states that it turned out that wasn't his name.

CONCLUSIONS OF LAW

- 1. Pursuant to Texas Occupations Code, Sections 301.451-301.555, the Board has jurisdiction over this matter.
- 2. Notice was served in accordance with law.
- 3. The evidence received is sufficient to prove violation(s) of 22 Tex. ADMIN. CODE $\S217.11(1)(A),(1)(B),(1)(C),(1)(D)\&(3)(A)$ and 22 Tex. ADMIN. CODE $\S217.12(1)(A),(1)(B),(1)(C)\&(4)$.
- 4. The evidence received is sufficient cause pursuant to Section 301.452(b)(10)&(13), Texas Occupations Code, to take disciplinary action against Registered Nurse License Number 453156, heretofore issued to JUDITH ANN ROTHOLZ, including revocation of Respondent's license(s) to practice nursing in the State of Texas.

ORDER

IT IS THEREFORE AGREED and ORDERED, subject to ratification by the Texas Board of Nursing, that RESPONDENT SHALL receive the sanction of WARNING WITH STIPULATIONS, and RESPONDENT SHALL comply in all respects with the Nursing Practice Act,

Texas Occupations Code, §§301.001 et seq., the Rules and Regulations Relating to Nurse Education, Licensure and Practice, 22 Tex. ADMIN. CODE §211.1 et seq. and this Order.

IT IS FURTHER AGREED and ORDERED that, while under the terms of this Order, this Order SHALL apply to any and all future licenses issued to Respondent to practice nursing in the State of Texas.

IT IS FURTHER AGREED and ORDERED that this Order SHALL be applicable to Respondent's nurse licensure compact privileges. if any, to practice nursing in the State of Texas.

IT IS FURTHER AGREED and ORDERED that while Respondent's license(s) is/are encumbered by this Order, Respondent may not work outside the State of Texas pursuant to a nurse licensure compact privilege without the written permission of the Texas Board of Nursing and the Board of Nursing in the party state where Respondent wishes to work.

IT IS FURTHER AGREED that:

(1) RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in Texas nursing jurisprudence and ethics. RESPONDENT SHALL obtain Board approval of the course prior to enrollment only if the course is <u>not</u> being offered by a pre-approved provider. Home study courses and video programs will not be approved. In order for the course to be approved, the target audience shall include nurses. It shall be a minimum of six (6) hours in length. The course's content shall include the Nursing Practice Act, standards of practice, documentation of care, principles of nursing ethics, confidentiality, professional boundaries, and the Board's Disciplinary Sanction Policies regarding: Sexual Misconduct; Fraud, Theft and Deception; Nurses with Substance Abuse. Misuse, Substance Dependency, or other Substance Use Disorder; and Lying and Falsification. Courses focusing on malpractice issues will not be accepted. RESPONDENT SHALL CAUSE the sponsoring institution to submit a Verification of Course

Completion form, provided by the Board, to the Office of the Board to verify RESPONDENT'S successful completion of the course. This course shall be taken in addition to any other courses stipulated in this Order, if any, and in addition to any continuing education requirements the Board has for relicensure. Board-approved courses may be found at the following Board website address: http://www.bon.texas.gov/disciplinaryaction/stipscourses.html.

(2) RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in medication administration. RESPONDENT SHALL obtain Board approval of the course prior to enrollment only if the course is not being offered by a pre-approved provider. Home study courses and video programs will not be approved. In order for the course to be approved, the target audience shall include Nurses. The didactic portion of this course shall be a minimum of six (6) hours in length. The course shall contain a minimum twenty-four (24) hour clinical component which is to be provided by the same Registered Nurse who provides the didactic portion of this course. The clinical component SHALL focus on tasks of medication administration only. In order for the course to be approved, the course's content shall include: a review of proper administration procedures for all standard routes; computation of drug dosages; the five (5) rights of medication administration; factors influencing the choice of route; and possible adverse effects resulting from improper administration. The course description shall indicate goals and objectives for the course, resources to be utilized, and the methods to be used to determine successful completion of the course. RESPONDENT SHALL successfully complete both the didactic and clinical portions of the course to satisfy this stipulation. RESPONDENT SHALL CAUSE the instructor to submit a Verification of Course Completion form, provided by the Board, to the office of the Board to verify RESPONDENT'S successful completion of the course. This course shall be taken in addition to any other courses stipulated in this Order, if any, and in addition to any

continuing education requirements the Board has for relicensure. Board-approved courses may be found at the following Board website address: http://www.bon.texas.gov/disciplinaryaction/stipscourses.html.

- (3) RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete a course in nursing documentation. RESPONDENT SHALL obtain Board approval of the course prior to enrollment only if the course is not being offered by a pre-approved provider. Home study courses and video programs will not be approved. The course shall be a minimum of six (6) hours in length of classroom time. In order for the course to be approved, the target audience shall include Nurses. The course shall include content on the following: nursing standards related to accurate and complete documentation; legal guidelines for recording; methods and processes of recording; methods of alternative record-keeping; and computerized documentation. RESPONDENT SHALL cause the instructor to submit a Verification of Course Completion form, provided by the Board, to the Board's office to verify RESPONDENT'S successful completion of the course. This course shall be taken in addition to any other courses stipulated in this Order, if any, and in addition to any continuing education requirements the Board has for relicensure. Board-approved courses may be found at the following Board website address: http://www.bon.texas.gov/disciplinaryaction/stipscourses.html.
- (4) RESPONDENT SHALL, within one (1) year of entry of this Order, successfully complete the course "Sharpening Critical Thinking Skills," a 3.6 contact hour online program provided by the National Council of State Boards of Nursing (NCSBN) Learning Extension. In order to receive credit for completion of this program, RESPONDENT SHALL SUBMIT the continuing education certificate of completion for this program to the Board's office, to the attention of Monitoring. This course is to be taken in addition to any continuing education requirements the

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Board may have for relicensure. Board-approved courses may be found at the following Board website address: http://www.bon.texas.gov/disciplinaryaction/stipscourses.html.

IT IS FURTHER AGREED, SHOULD RESPONDENT PRACTICE AS A NURSE IN THE STATE OF TEXAS, RESPONDENT WILL PROVIDE DIRECT PATIENT CARE AND PRACTICE IN A HOSPITAL, NURSING HOME, OR OTHER CLINICAL SETTING AND RESPONDENT MUST WORK IN SUCH SETTING A MINIMUM OF SIXTY-FOUR (64) HOURS PER MONTH UNDER THE FOLLOWING STIPULATIONS FOR ONE (1) YEAR(S) OF EMPLOYMENT. THE LENGTH OF THE STIPULATION PERIOD WILL BE EXTENDED UNTIL SUCH TWELVE (12) MONTHS HAVE ELAPSED. PERIODS OF UNEMPLOYMENT OR OF EMPLOYMENT THAT DO NOT REQUIRE THE USE OF A REGISTERED NURSE (RN) OR A VOCATIONAL NURSE (LVN) LICENSE, AS APPROPRIATE, WILL NOT APPLY TO THIS STIPULATION PERIOD:

- of the Board and the stipulations on RESPONDENT'S license(s). RESPONDENT SHALL present a complete copy of this Order and all Proposals for Decision Issued by the Administrative Law Judge, if any, to each present employer within five (5) days of receipt of this Order. RESPONDENT SHALL notify all future employers in nursing of this Order of the Board and the stipulations on RESPONDENT'S license(s). RESPONDENT SHALL present a complete copy of this Order and all Proposals for Decision issued by the Administrative Law Judge, if any, to each future employer prior to accepting an offer of employment.
- (6) RESPONDENT SHALL CAUSE each present employer in nursing to submit the Notification of Employment form, which is provided to the Respondent by the Board, to the Board's office within ten (10) days of receipt of this Order. RESPONDENT SHALL CAUSE each future

employer to submit the Notification of Employment form, which is provided to the Respondent by the Board, to the Board's office within five (5) days of employment as a nurse.

- Registered Nurse, or by a Licensed Vocational Nurse or a Registered Nurse, if licensed as a Licensed Vocational Nurse, who is on the premises. The supervising nurse is not required to be on the same unit or ward as RESPONDENT, but should be on the facility grounds and readily available to provide assistance and intervention if necessary. The supervising nurse shall have a minimum of two (2) years experience in the same or similar practice setting to which the Respondent is currently working. RESPONDENT SHALL work only regularly assigned, identified and predetermined unit(s). RESPONDENT SHALL NOT be employed by a nurse registry, temporary nurse employment agency, hospice, or home health agency. RESPONDENT SHALL NOT be self-employed or contract for services. Multiple employers are prohibited.
- (8) RESPONDENT SHALL CAUSE each employer to submit, on forms provided to the Respondent by the Board, periodic reports as to RESPONDENT'S capability to practice nursing. These reports shall be completed by the nurse who supervises the RESPONDENT. These reports shall be submitted by the supervising nurse to the office of the Board at the end of each three (3) month period for one (1) year(s) of employment as a nurse.

IT IS FURTHER AGREED. that upon full compliance with the terms of this Order, all encumbrances will be removed from RESPONDENT'S license(s) to practice nursing in the State of Texas and RESPONDENT may be eligible for nurse licensure compact privileges, if any.

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RESPONDENT'S CERTIFICATION

I understand that I have the right to legal counsel prior to signing this Agreed Order. I waive representation by counsel. I have reviewed this Order. I neither admit nor deny the violation(s) alleged herein. By my signature on this Order, I agree to the Findings of Fact. Conclusions of Law, Order, and any conditions of said Order, to avoid further disciplinary action in this matter. I waive judicial review of this Order. I understand that this Order is subject to ratification by the Board. When this Order is ratified, the terms of this Order become effective, and a copy will be mailed to me. I understand that if I fail to comply with all terms and conditions of this Order, I will be subject to investigation and disciplinary sanction, including revocation of my license(s) to practice nursing in the State of Texas, as a consequence of my noncompliance.

Signed this 3rd day of October, 2013.

Sworn to and subscribed before me this 30 day of October . 20 13

SEAL

Notary Public in and for the State of Sulas.

WHEREFORE, PREMISES CONSIDERED, the Texas Board of Nursing does hereby ratify and adopt the Agreed Order that was signed on the 3rd day of October, 2013, by JUDÍTH ANN ROTHOLZ, Registered Nurse License Number 453156, and said Order is final.

Effective this 12th day of November, 2013.

Katherine A. Thomas, MN, RN, FAAN

Executive Director on behalf

of said Board